

## Mitigation and Development Pathways in the Near to Mid-term Supplementary Material

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Table 4.SM.1 | Overview of methods used for projected emissions of NDCs and/or current policies. Adapted from Kuramochi et al. (2020); den Elzen et al. (2019).

Study	Policy cut-off <sup>a</sup> (month/year)	Regions	Sectors	Emissions <sup>b/</sup> GWP (if applicable)	Scenarios <sup>c</sup>	Policies	Methods <sup>d</sup>	References
Climate Action Tracker	11/2018	Global (38 countries in detail)	Energy, AFOLU	Kyoto/AR4	CP, NDC	All policies	Literature review (official, national, international sources), supplemented by additional bottom-up analysis	Climate Action Tracker (2019) method: <a href="https://climateactiontracker.org/methodology/">https://climateactiontracker.org/methodology/</a>
PBL Netherlands Environmental Assessment Agency	11/2018	Global (G20 countries with policy detail, NDCs for 78 countries, covering 91% of 2012 GHG emissions)	Energy, AFOLU	Kyoto/AR4	CP, NDC	Expert-selected policies based on comprehensive policy inventory	CP: literature review (official, national, international sources), global IAM (IMAGE), ILM (GLOBIOM/G4M), NDC: FAIR model	Kuramochi et al. (2019) online tool: <a href="http://www.pbl.nl/indc">www.pbl.nl/indc</a>
ADVANCE	4/2017*	Global	Energy, AFOLU	Kyoto/AR4	NDC	NDC: GHG targets	Set of global IAMs (AIM/CGE, IMAGE, IMACLIM, GCAM, GEM-E3, MESSAGE-GLOBIOM, POLES, REMIND, WITCH-GLOBIOM)	Luderer et al. (2018); Vrontisi et al. (2018) online database: <a href="https://db1.ene.iiasa.ac.at/ADVANCEDB/">https://db1.ene.iiasa.ac.at/ADVANCEDB/</a>
CD-LINKS global	12/2016	Global, with regional detail	Energy, AFOLU	Kyoto/AR4	CP, NDC	CP: Comprehensive policies; NDC: GHG targets, additional policies	Set of global IAMs (AIM/CGE, IMAGE, GEM-E3, MESSAGEix-GLOBIOM, POLES, REMIND-MAGPIE, WITCH-GLOBIOM)	McCollum et al. (2018); Roelfsema et al. (2020) online database: <a href="https://db1.ene.iiasa.ac.at/CDLINKSDB/">https://db1.ene.iiasa.ac.at/CDLINKSDB/</a>
JRC GECO 2019	03/2020	Global G20 countries with policy detail	Energy, AFOLU	Kyoto/SAR	CP, NDC	Expert-selected policies based on comprehensive policy inventory	CP: literature review (official, national, international sources), global IAM (POLES), ILM (GLOBIOM/G4M)	EU Joint Research Centre (2020)
NDC & INDC Factsheets	11/2016	Global (195 countries)	Energy, AFOLU	Kyoto/AR4	NDC	NDC: Emissions pathways	Literature review, IPCC scenario database	Meinshausen and Alexander (2017) <a href="http://climatecollege.unimelb.edu.au/ndc-indc-factsheets">http://climatecollege.unimelb.edu.au/ndc-indc-factsheets</a>
Kuramochi et al. (2020)	11/2020	Non-G20 countries: Chile, Colombia, Democratic Republic of the Congo (DRC), Iran, Kazakhstan, Morocco, the Philippines, Thailand, and Ukraine	Energy, AFOLU	Kyoto/AR4	CP, NDC	CP: Comprehensive policies; NDC: GHG targets, additional policies	Literature review (official, national, international sources), supplemented by additional bottom-up analysis	Kuramochi et al. (2021, 2019)
Keesler et al. (2019)	11/2019	National (Argentina)	Energy, AFOLU	Kyoto/AR4	CP, NDC	CP: Comprehensive policies; NDC: GHG target	National ESM	Keesler et al. (2019)
Climateworks Australia	2018	National (Australia)	Energy, AFOLU	Kyoto/AR4	CP, NDC	CP: Comprehensive policies; NDC: GHG target	National ESM	ClimateWorks Australia (2018)
Commonwealth of Australia 2019	2019	National (Australia)	Energy, AFOLU	Kyoto/AR4	CP, NDC	CP: Comprehensive policies; NDC: GHG target	National ESM	Commonwealth of Australia (2019)

Study	Policy cut-off <sup>a</sup> (month/year)	Regions	Sectors	Emissions <sup>b</sup> / GWP (if applicable)	Scenarios <sup>c</sup>	Policies	Methods <sup>d</sup>	References
Rochedo et al. (2018); Koberle et al. (2020)	12/2016	National (Brazil)	Energy, AFOLU	Kyoto/AR4	CP, NDC	CP: Comprehensive policies, NDC: GHG target	National ESM (BLUES)	Rochedo et al. 2018; Koberle et al. (2020)
Fu et al. (2017, 2018)	11/2017	National (China)	Energy	CO <sub>2</sub> /NA	CP, NDC	NDC	National ESM (China)	Fu et al. (2017); Fu (2018)
Li et al. (2019)	12/2018	National (China)	Energy	CO <sub>2</sub> /NA	CP, NDC	NDC: Emission peak by 2030	National ESM (China TIMES)	Li et al. (2019) Method: Shi et al. (2016)
Yang et al. (2018)	1/2017	National (China)	Energy	CO <sub>2</sub> /NA	NDC	NDC: Emission peak, emission intensity	National ESM (China MAPLE), MACCs	Yang et al. (2018)
China Renewable Energy Outlook	4/2017*	National (China)	Energy	CO <sub>2</sub> /NA	CP	CP: Stated policies and extrapolation of current policies	National ESM (CNREC scenario modeling tools)	ERI/CNREC (2017)
European Commission 2018	11/2018	Regional (EU)	Energy, AFOLU	Kyoto/AR4	CP, NDC	CP: Comprehensive policies; NDC: GHG target	Modeling tools for EU analysis (PRIMES, GAINS, GLOBIOM/G4M, CAPRI, GEM-E3, E3ME)	European Commission (2018) Method: <a href="https://ec.europa.eu/clima/policies/strategies/analysis/models_en">https://ec.europa.eu/clima/policies/strategies/analysis/models_en</a>
Vrontisi et al. (2019)	12/2016	Regional (EU)	Energy	Kyoto/AR4	CP, NDC	CP: Comprehensive policies; NDC: GHG target	Regional ESM and CGE model (PRIMES, GEM-E3)	Vrontisi et al. (2019)
Dubash et al. (2018)	2011–2015	National (India)	Energy	CO <sub>2</sub> /NA	CP, NDC	CP: Comprehensive policies; NDC: GHG target	Set of 15 national ESM studies with a base-year of current policies pre-2015 and 2015	Dubash et al. (2018)
Vishwanathan et al. (2018)	12/2016	National (India)	Energy	CO <sub>2</sub> /NA	CP, NDC	CP: Comprehensive policies, NDC	National ESM (AIM/Enduse 3.0)	Vishwanathan et al. (2018); Vishwanathan and Garg (2020)
Mathur et al. (2019)	12/2016	National (India)	Energy	CO <sub>2</sub> /NA	CP, NDC	CP: Comprehensive policies, NDC	National ESM (India MARKAL)	Mathur and Shekhar (2020)
Oshiro et al. (2019)	12/2016	National (Japan)	Energy, AFOLU	Kyoto/AR4	CP, NDC	CP, NDC	National ESM (AIM/Enduse, DNE21+)	Oshiro et al. (2019)
JMIP/EMF35	3/2018	National (Japan)	Energy, AFOLU	CO <sub>2</sub> /NA, Kyoto gases/AR4	NDC	NDC: GHG target	National ESMs (AIM/Enduse-Japan, AIM/Hub-Japan, DNE21-Japan, IEEJ-Japan)	Sugiyama et al. (2021)
Safonov et al. (2020)	12/2016	National (Russia)	Energy	CO <sub>2</sub> /NA	CP, NDC	CP: Comprehensive policies, NDC	National energy systems models (Russia-TIMES)	Safonov et al. (2020)
Rhodium Group	11/2019	National (USA)	Energy	Kyoto/AR4	CP, NDC	CP: Comprehensive policies; NDC: GHG target	National ESM (USA)	Pitt et al. (2019)
EIA Annual Energy Outlook 2019	6/2018*	National (USA)	Energy	CO <sub>2</sub> /NA	CP	CP: Current laws and regulations	National ESM (NEMS)	EIA (2019)
ENGAGE Global	07/2019	Global, with regional detail	Energy, AFOLU	Kyoto/AR4	CP, NDC	CP: Comprehensive policies; NDC: GHG targets, additional policies	Set of global IAMs (AIM/CGE, COFFEE, IMAGE, GEM-E3, MESSAGEix-GLOBIOM, POLES, REMIND-MAGPIE, TIAM-ECM, WITCH)	Riahi et al. (2021); Bertram et al. (2021a); Drouet et al. (2021); Hasegawa et al. (2021)
ENGAGE National Asia	03/2020	National (China, India, Japan, Korea, Thailand)	Energy, AFOLU	Kyoto/AR4	NDC	NDC: GHG targets	Set of national IAMs (AIM/Hub China, India, Japan, Korea, Thailand, Vietnam)	Fujimori et al. (2021)

Study	Policy cut-off <sup>a</sup> (month/year)	Regions	Sectors	Emissions <sup>b</sup> / GWP (if applicable)	Scenarios <sup>c</sup>	Policies	Methods <sup>d</sup>	References
COMMIT	7/2019	Global with regional detail, National (Australia, Brazil, Canada, EU, India, Japan, Korea, Russia, USA)	Energy, AFOLU	CO <sub>2</sub> /NA, Kyoto/AR4	CP, NDC	CP: Comprehensive policies; NDC: GHG targets, additional policies	Set of global and national ESMs/IAMs (global: AIM/CGE, COFFEE, IMAGE, MESSAGEix-GLOBIOM, POLES, PROMETHEUS, REMIND-MAgPIE, TIAM-Grantham, WITCH; national: AIM/CGE-Korea, AIM/Enduse-Japan, BLUES-Brazil, GCAM-USA, PRIMES, TIMES-Australia)	van Soest et al. (2021)
REMIND 2.1	06/2020*	Global with regional detail	Energy, AFOLU	Kyoto/AR4	CP, NDC for SSP1/2/5	CP: Stylized policies; NDC: GHG targets, stylized policies	Global IAM (REMIND)	Baumstark et al. (2021)
PEP1p5	08/2017*	Global with regional detail	Energy, AFOLU	Kyoto/AR4	CP, NDC	CP: Comprehensive policies; NDC: GHG targets, additional policies	Global IAM (REMIND-MAgPIE)	Kriegler et al. (2018)
CEMICS	05/2017	Global with regional detail	Energy, AFOLU	Kyoto/AR4	CP, NDC	NDC: GHG targets	Global IAM (REMIND)	Strefler et al. (2018)
van der Zwaan et al. 2018	11/2016*	National (Ethiopia)	Energy, AFOLU	CO <sub>2</sub> /NA	CP		National IAM embedded in global IAM (TIAM-ECN ETH)	van der Zwaan et al. (2018)
Dalla Longa and van der Zwaan 2017	05/2016*	National (Kenya)	Energy, AFOLU	CO <sub>2</sub> /NA	CP, NDC		National IAM embedded in global IAM (TIAM-ECN KEN)	Dalla Longa and van der Zwaan (2017)
Nogueira et al. (2020)	05/2019*	National (Madagascar)	Energy, AFOLU	CO <sub>2</sub> /NA	CP, NDC		National IAM embedded in global IAM (TIAM-ECN MDG)	Nogueira et al. (2020)
Fortes et al. (2019)		National (Portugal)	Energy	CO <sub>2</sub> , CH <sub>4</sub> , N <sub>2</sub> O/NA	NDC		National ESM (TIMES-Portugal)	Fortes et al. (2019)
Climate Equity Reference Calculator		Multi-national (91 countries and regions)	Energy, AFOLU	Kyoto/SAR	NDC		Literature review (NDC targets, emission inventories, exogenous emission pathways), spreadsheet calculation	Holz et al. (2018)
EMF36		Global with regional detail	Energy, AFOLU	CO <sub>2</sub> /NA	NDC		Set of global CGEs (C-GEM, CGE-MOD, DART, EC-MSMR, EDF-GEPA, ENVISAGE, ICES-EMF, SNOW, TEA, WEGDYN)	Böhringer et al. (2021)
Wei et al. (2018)		National (China)	Energy	CO <sub>2</sub> /NA	NDC (3 variants)		National IAM embedded in global IAM (C3IAM)	Wei et al. (2018)
NGFS	12/2020	Global with regional detail	Energy, AFOLU	Kyoto/AR4	CP/NDC	CP: Comprehensive policies; NDC: GHG targets, additional policies	Set of global IAMs (GCAM, MESSAGEix-GLOBIOM, REMIND-MAgPIE)	Bertram et al. (2021b)
Paris Reinforce		Global with regional detail, EU	Energy, (AFOLU)	CO <sub>2</sub> /NA, Kyoto/AR4	CP/NDC	CP: Comprehensive policies; NDC: GHG targets, additional policies	Set of global IAMs (E3ME, GCAM-PR, GEMINI-E3, ICES-XPS, MUSE, NEMESIS) and regional IAM (E4SMA-EU-TIMES)	Sognaes et al. (2021); Nikas et al. (2021)
van de Ven et al. (2021)		Global	Energy, AFOLU	Kyoto/AR4	NDC	NDC: GHG targets, additional policies	National IAM embedded in global IAM (GCAM-USA)	van de Ven et al. (2021)
Le Treut et al. (2020)		National (Argentina)	Energy	CO <sub>2</sub> /NA	NDC	NDC: GHG targets, additional policies	National IAM (IMACLIM-ARG)	Le Treut et al. (2021)

Study	Policy cut-off <sup>a</sup> (month/year)	Regions	Sectors	Emissions <sup>b</sup> / GWP (if applicable)	Scenarios <sup>c</sup>	Policies	Methods <sup>d</sup>	References
Panos et al. (2021)	2018	National (Switzerland)	Energy	CO <sub>2</sub> /NA	CP	CP: Comprehensive policies	National ESM (Swiss TIMES Energy Systems Model)	Panos et al. (2021)
Rogelj et al. (2017)		Global	Energy, AFOLU	Kyoto/AR4	NDC	NDC: GHG targets, additional policies	Global IAM (MESSAGE-GLOBIOM)	Rogelj et al. (2017)
Benveniste et al. (2018)		Global	Energy, AFOLU	Kyoto/SAR	NDC	NDC: GHG targets, additional policies	Monte Carlo analysis of GHG emissions	Benveniste et al. (2018)

Notes: <sup>a</sup> In case policy cut-off date is not explicitly specified in the publication or accompanying information, the study submission date minus six months is used as proxy; <sup>b</sup> CO<sub>2</sub> = CO<sub>2</sub> only, Kyoto = Kyoto GHGs, SAR = IPCC Second Assessment Report, AR4 = IPCC Fourth Assessment Report; <sup>c</sup> CP = Current Policies, NDC = Nationally Determined Contribution; <sup>d</sup> IAM = Integrated Assessment Model, ESM = Energy Systems Model, ILM = Integrated Land Model, CGE = Computable General Equilibrium Model.

**Table 4.SM.2 | Comparison of post-COVID and pre-COVID 2030 emissions projections.** The comparison is based on current policy scenario projections for all GHG emissions, unless otherwise noted. All results rounded to .5%-point precision.

	Emissions <sup>a</sup> /Sectors <sup>b</sup>	Min	Max	References
Climate Action Tracker	Kyoto/all	-4%	-7%	Climate Action Tracker (2020)
IEA World Energy Outlook 2020 <sup>c</sup>	CO <sub>2</sub> /energy	-4%	-10%	IEA (2020)
UNEP Emissions Gap Report 2020	Kyoto/all	-3%	-7.5%	(UNEP 2020)
Dafnomilis et al. (2020)	Kyoto/all	-4%	-7.5%	Dafnomilis et al. (2020)
Dafnomilis et al. (2021 <sup>d</sup> )	Kyoto/all	-6%	-7.5%	Dafnomilis et al. (2021)
Kikstra et al. (2021 <sup>e</sup> )	Kyoto/all	-1.5%	-8.5%	Kikstra et al. (2021)
ENGAGE <sup>f</sup>	Kyoto/all	-3%	-6.5%	Riahi et al. (2021)
Pollitt et al. (2021 <sup>g</sup> )	CO <sub>2</sub> /all	-3.5%	-3.5%	Pollitt et al. (2021)

Notes: <sup>a</sup> CO<sub>2</sub> = CO<sub>2</sub> only, Kyoto = Kyoto GHGs <sup>b</sup> all = all sectors including AFOLU emissions, energy = energy related emissions <sup>c</sup> Stated Policies Scenario, scenario 'incorporates our assessment of all the policy ambitions and targets that have been legislated for or announced by governments around the world' (IEA 2020), and 'assumes that significant risks to public health are brought under control over the course of 2021, allowing for a steady recovery in economic activity'. <sup>d</sup> Dafnomilis et al. (2021) range includes estimates from three models E3ME, GEM-E3, and IMAGE. <sup>e</sup> Kikstra et al. (2021) range based on four different recovery scenarios. <sup>f</sup> Riahi et al. (2021) range includes estimates from four models GEM-E3, MESSAGEix-GLOBIOM, POLES, REMIND-MAGPIE based on sensitivity analysis. <sup>g</sup> Pollitt et al. (2021) Green Recovery Plan scenario not included in range.

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