

Financial Markets Conduct Act (NZ Markets Disciplinary Tribunal Rules) Approval of Market Rules Notice 2016

Pursuant to section 332(1) of the Financial Markets Conduct Act 2013, the Financial Markets Authority gives the following notice.

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Notice

1 Title

This notice is the Financial Markets Conduct Act (NZ Markets Disciplinary Tribunal Rules) Approval of Market Rules Notice 2016.

2 Interpretation

- (1) In this notice, unless the context otherwise requires, —

Act means the Financial Markets Conduct Act 2013

licensed market means a financial product market that is licensed under section 316 of the Act

NZX means NZX Limited

- (2) Any term or expression that is defined in the Act and used, but not defined, in this notice has the same meaning as in the Act.

3 Approval of market rules

- (1) The NZ Markets Disciplinary Tribunal Rules, provided to the Financial Markets Authority on 11 November 2015, are approved as market rules for the licensed markets operated by NZX.

Explanatory Note

This note is not part of the notice, but is intended to indicate its general effect.

This notice comes into force on 12 January 2016. The notice allows for NZX to replace the NZ Markets Disciplinary Tribunal Rules dated 16 April 2015 with the NZ Markets Disciplinary Tribunal Rules provided to the Financial Markets Authority on 11 November 2015.