

# Zero Knowledge Proofs: Challenges, Applications, and Real-world Deployment

NIST Workshop on Privacy Enhancing Cryptography

September 26<sup>th</sup>, 2024

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Tjerand Silde & Akira Takahashi



J.P.Morgan

AlgoCRYPT CoE  

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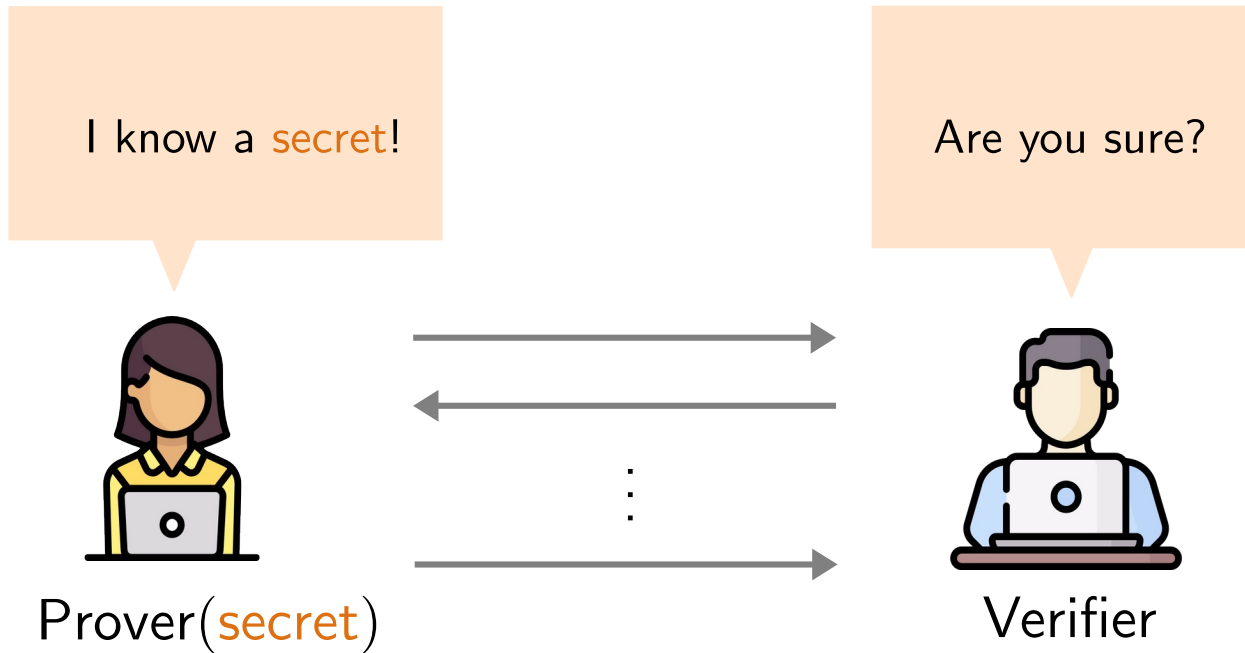
AI Research

# This talk

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- 1) Introduction to Zero Knowledge Proof (Akira)
- 2) Technical Challenges (Akira)
- 3) Real-World Applications (Tjerand)
- 4) Insights from ZKP Workshop (Tjerand)
- 5) Resources and Standards (Tjerand)

# What is Zero Knowledge Proof?



## Basics

- ZKP is a two-party protocol, consisting of **Prover** and **Verifier**
- With ZKP, Prover can convince Verifier that she has some secret information without disclosing the secret
- Example: "I know **sk** corresponding to **pk**"
- Long history of research starting from the '80s [GMR85]. Lots of efficiency improvements during the last decade
  - cf. ZK-SNARK (Succinct Non-interactive Argument of Knowledge)

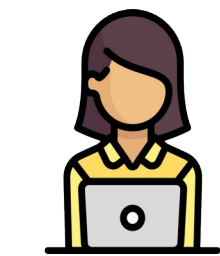
# Syntax of ZKP

- $x$ : statement (i.e. public input)
- $w$ : witness (i.e. secret input)
- $R$ : relation function, outputting 1 or 0
- “I know  $w$  s.t.  $R(x, w) = 1$ ”

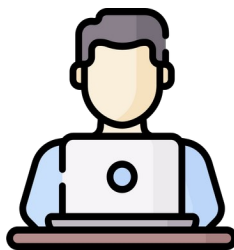
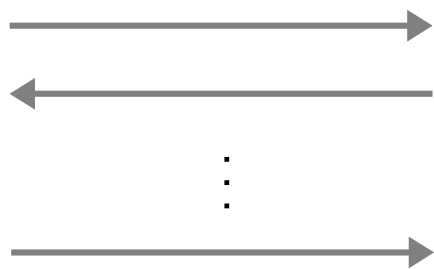
$b$ : decision bit

## Completeness

- If Prover and Verifier honestly follow the protocol, then Verifier halts by outputting  $b = 1$



Prover( $x, w$ )



Verifier( $x$ )

$b = 1$ : “accept”

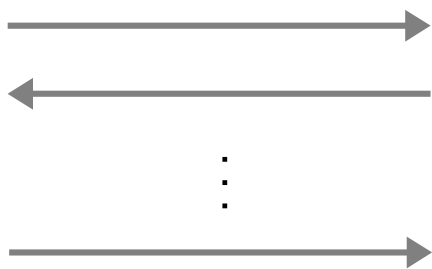
$b = 0$ : “reject”

# Security Goals of Zero Knowledge Proof

- $x$ : statement (i.e. public input)
- $w$ : witness (i.e. secret input)
- $R$ : relation function, outputting 1 or 0
- “I know  $w$  s.t.  $R(x, w) = 1$ ”



Prover( $x, w$ )



- Tries to steal  $w$



Verifier( $x$ )

## Zero Knowledge (ZK)

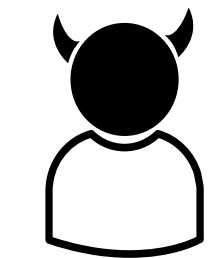
- Protecting against malicious verifier
- Verifier learns nothing about Prover's secret
- Formally, ZK is guaranteed by showing the existence of “Simulator”

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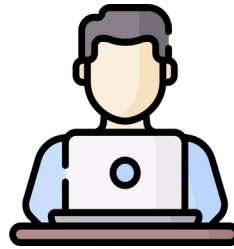
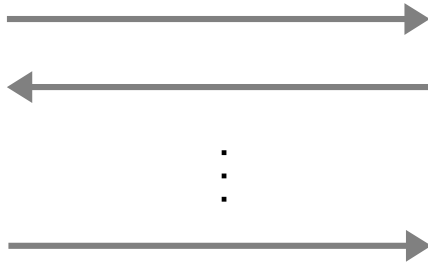
- Maliciously interacts with Verifier
- Might use an “invalid” input

$$R(x^*, w^*) = 0$$

You lied!



Prover( $x^*$ ,  $w^*$ )



Verifier( $x^*$ )

$b = 0$ : “reject”

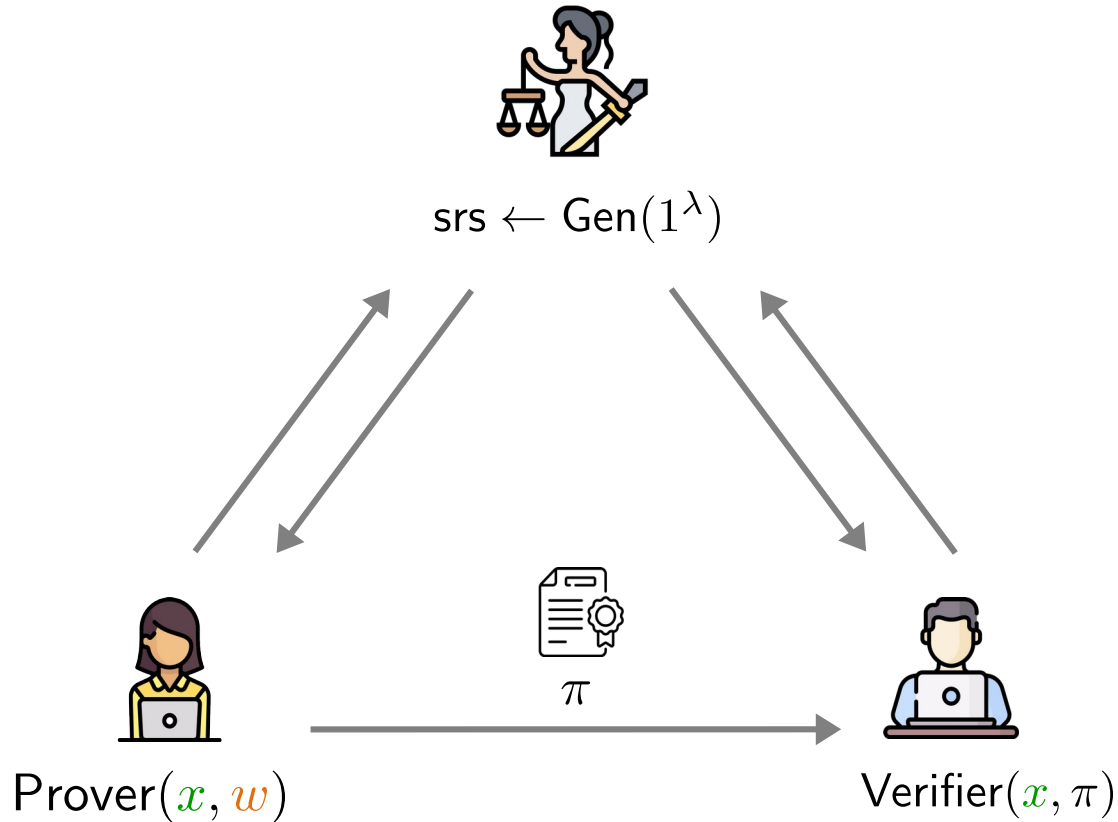
## Zero Knowledge (ZK)

- Protecting against malicious verifier
- Verifier learns nothing about Prover’s secret
- Formally, ZK is guaranteed by showing the existence of “Simulator”

## Knowledge Soundness (KSND)

- Protecting against malicious prover
- If Prover uses an invalid secret, then Verifier catches it with high probability
- Formally, knowledge soundness is guaranteed by showing the existence of “Knowledge Extractor”

# Non-interactive Zero Knowledge Proof (NIZK)



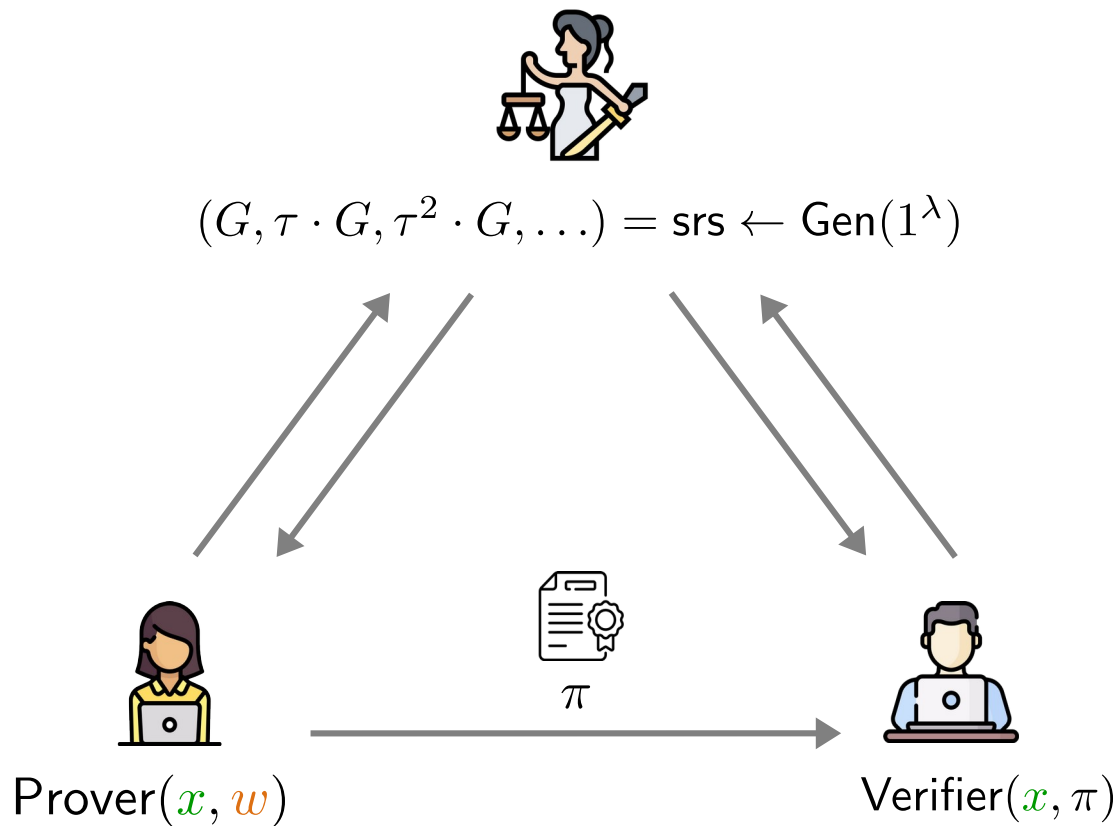
## Removing Interactions

- Ideally, Prover should create a one-shot proof string  $\pi$
- Verifier checks  $\pi$  asynchronously
- Such  $\pi$  is reusable and can be checked by potentially many verifiers

## Types of Trusted Setup

- **Structured Reference String (SRS)**
- Hash function modeled as Random Oracle
- Or both!

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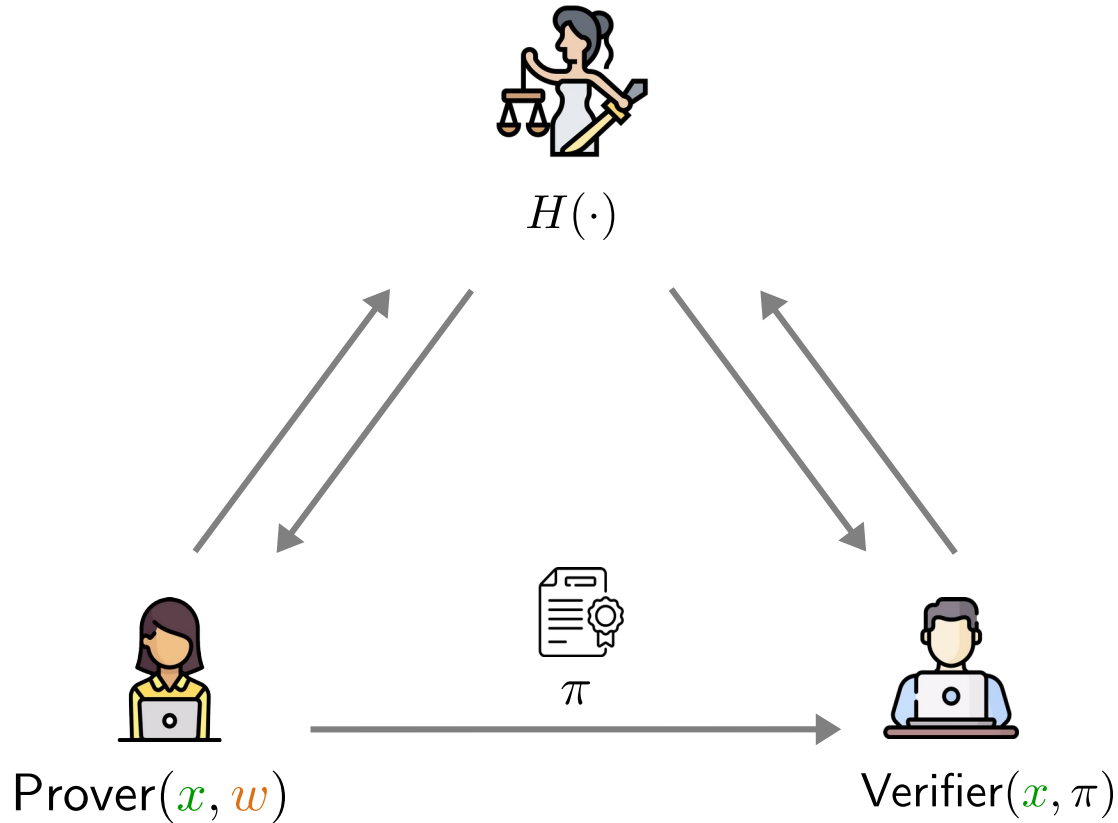
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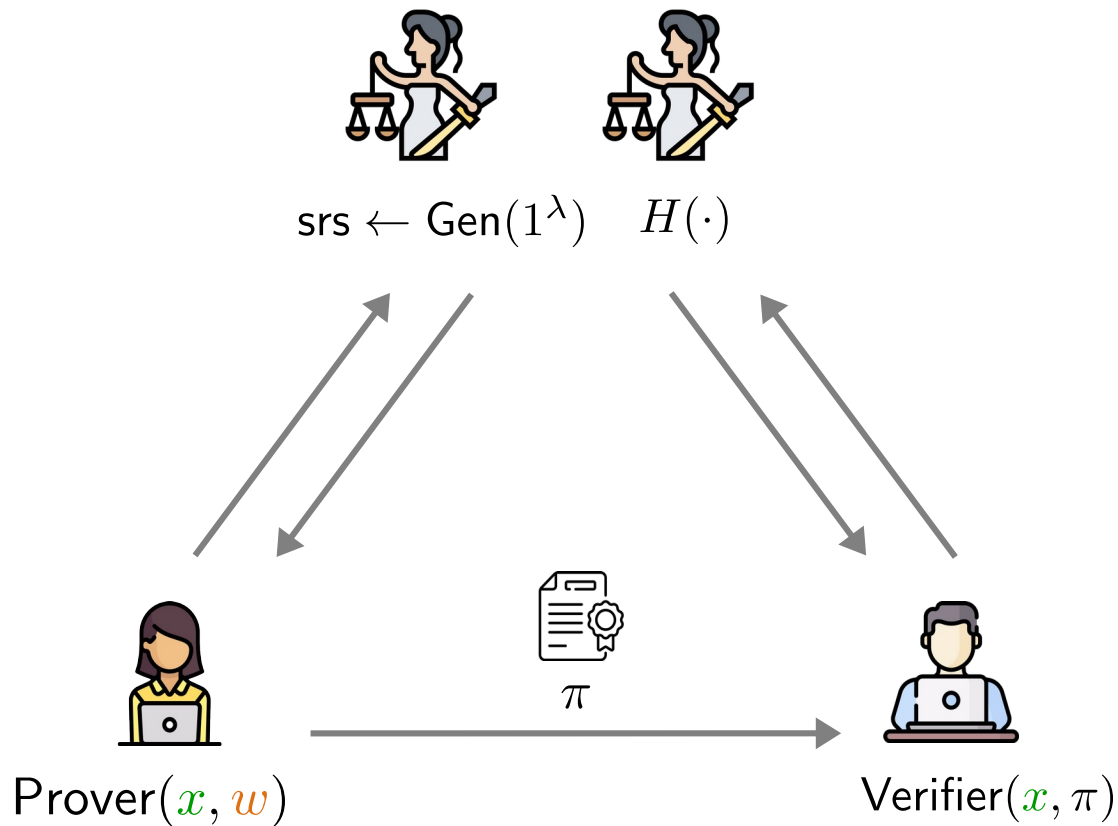
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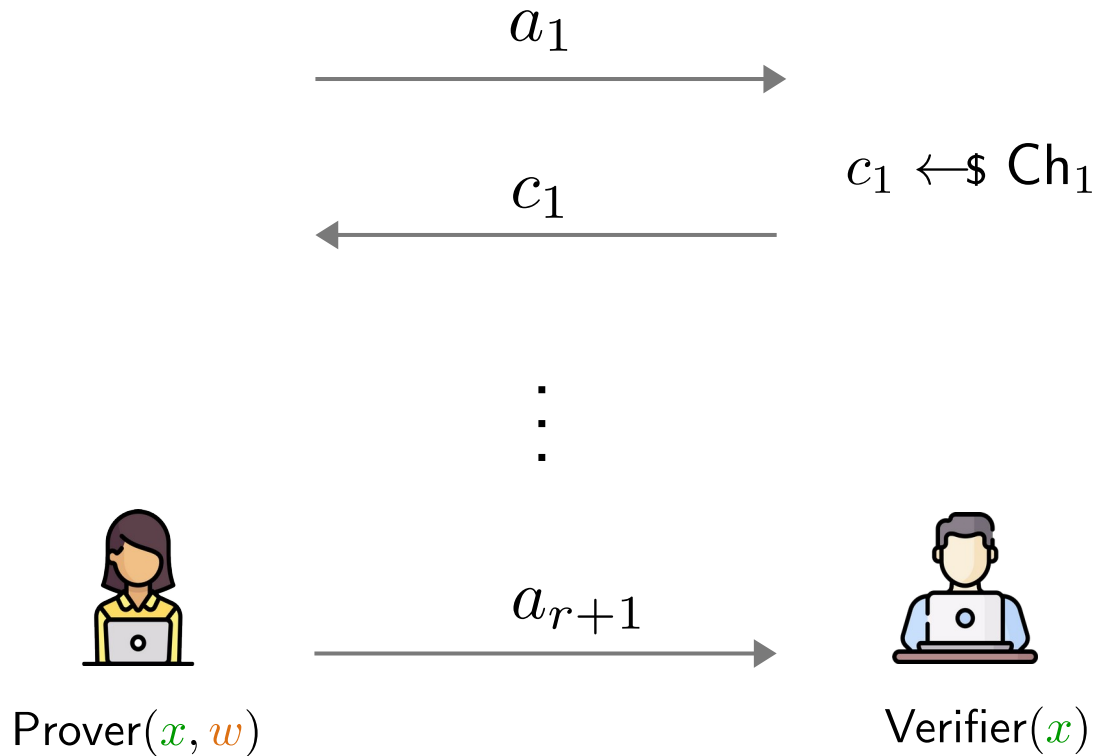
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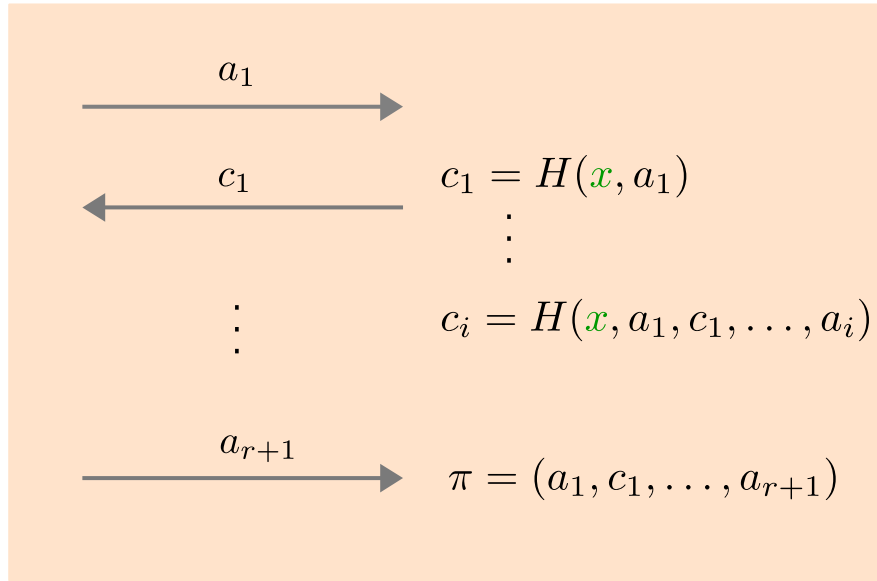
# Paradigm of NIZK I: Fiat-Shamir [FS87]



## Modular Design of NIZK

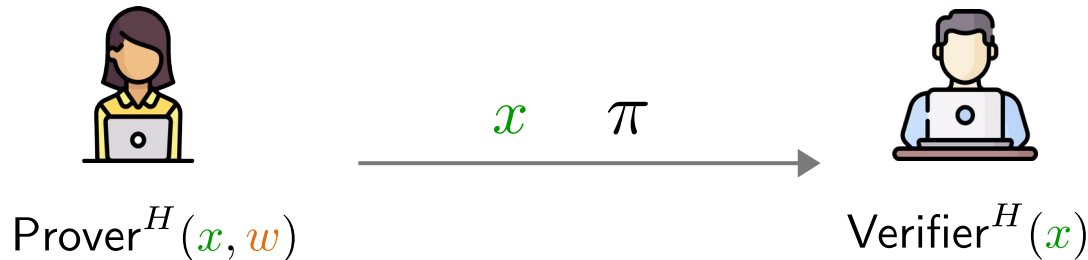
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  - Verifier does not require a secret state
  - ZK against semi-honest Verifier (**Honest-Verifier ZK**)
- **Step 2.** NI Prover and Verifier obtain challenge by locally hashing a partial transcript so far
- Bonus: By hashing the message, FS-NIZK gives rise to a **digital signature**
- Example: Schnorr/EdDSA, CRYSTALS-Dilithium, PLONK family, Bulletproofs, etc.
- Many modern SNARKs are constructed from (Polynomial) **Interactive Oracle Proofs** converted to NIZK via Fiat-Shamir [BCS16, CHMMVW19, BFS19, GWC19, CFFQR20,...]

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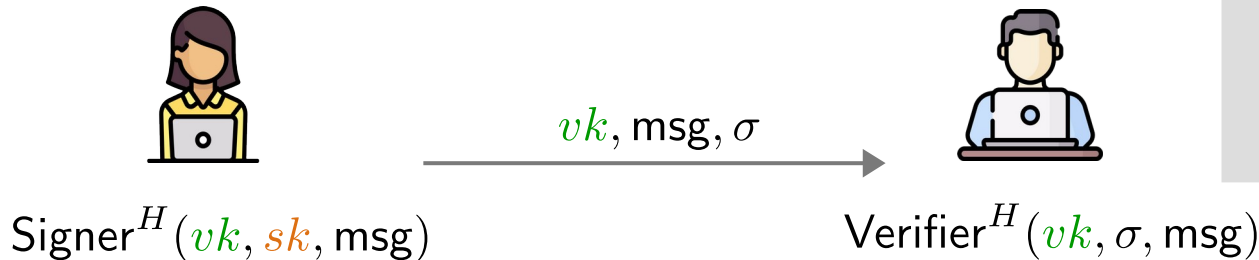
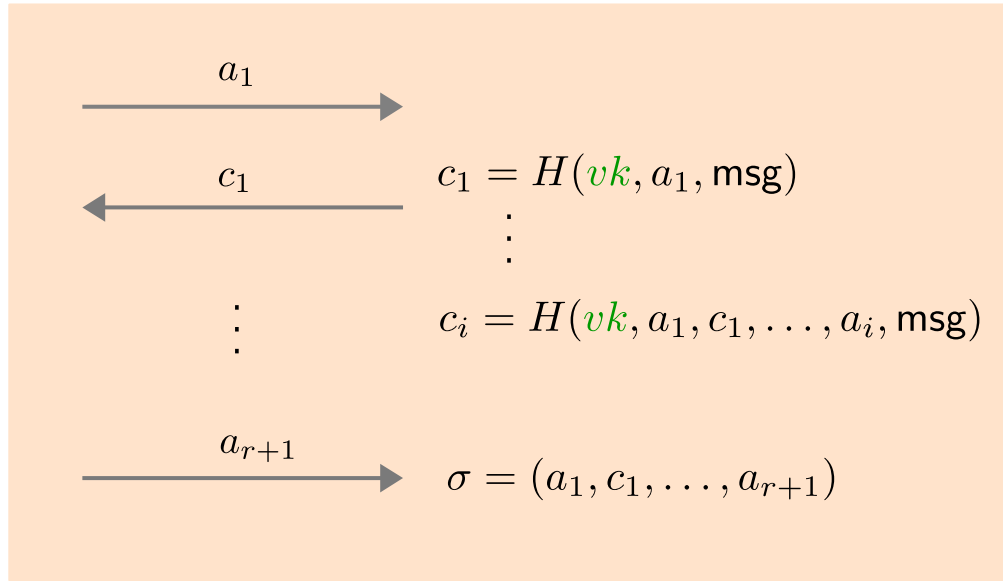


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# Paradigm of NIZK I: Fiat-Shamir [FS87]

Interactive Oracle Proof

(No computational assumption)



+ Cryptographic Commitment

Interactive Zero Knowledge Proof

(Often only secure against computationally bounded adversaries)



+ Fiat-Shamir

Non-interactive Zero Knowledge Proof

## Modular Design of NIZK

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# Paradigm of NIZK II: Linear Interactive Proofs [GGPR13,BCI+13]



$$\sigma := \text{srs} \leftarrow \text{Gen}(1^\lambda, \mathcal{R})$$

$$\mathbf{M} \leftarrow \text{ProofMatrix}(\mathcal{R}, x, w)$$

- $T \leftarrow \text{Test}(\mathcal{R}, x)$
- Check  $T(\sigma, \pi) = 1$



Prover( $x, w$ )

$$\pi = \mathbf{M} \cdot \sigma$$



Verifier( $x$ )

## NIZK without Fiat-Shamir

- **Step 1.** srs generator outputs a relation-dependent vector
- **Step 2.** NI Prover applies linear transformation to srs
- **Step 3.** NI Verifier derives a testing function, allowing to check whether correct linear transformation has been applied
- Example: [\[Groth16\]](#)
- Important: Prover and Verifier should never learn internal randomness of Gen; otherwise, malicious prover can easily prove a false statement

# Technical Challenges

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1) Balancing Generality, Efficiency and Assumptions

2) Advanced Security

3) Interoperability



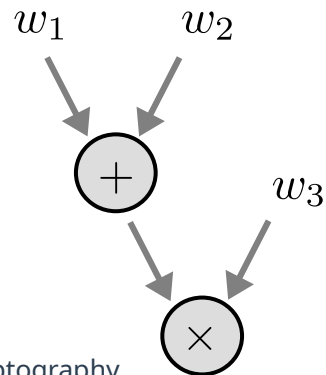
# Types of ZKP

## General-Purpose ZKP

- Supports arbitrary NP relation  $R$
- Relation is often described using an arithmetic circuit

$$\mathcal{R}_C = \{(x, w) : C(x, w) = 1\}$$

- Pros:
  - Can prove correct execution of *any* program
  - Suitable for verifiable and outsourced computation
- Cons:
  - circuit gets complex for certain non-linear computations
  - E.g., elliptic curve arithmetic, comparison, table lookup, etc.



## Specialized ZKP

- Designed for particular type of NP relation  $R$

$$\mathcal{R}_{DL} = \{(X, w) : X = w \cdot G\}$$

$$\mathcal{R}_{SIS} = \{(\mathbf{x}, \mathbf{w}) : \mathbf{x} = \mathbf{A}\mathbf{w} \bmod q, \|\mathbf{w}\| \leq \beta\}$$

$$\mathcal{R}_{\text{Lookup}} = \{(\mathbf{x}, \mathbf{w}) : \mathbf{w} \text{ is a subvector of } \mathbf{x}\}$$

- Pros:
  - Can prove and verify designated relations efficiently
  - Sufficient for some useful applications, e.g., proof of correct encryption, distributed key generation, signatures, etc.
- Cons:
  - Requires careful integration with general-purpose ZKP to support more complex statements

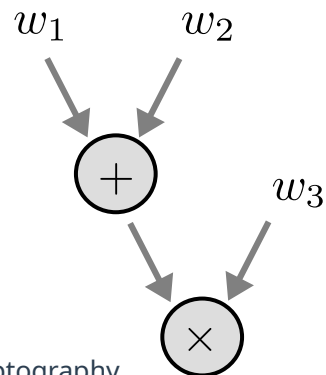
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# Desiderata

## Proof Size

- Smaller proof saves storage and communication bandwidth
- **Groth16** requires *only 3 group elements* from pairing-friendly curves
- State-of-the-art Polymath [Lip24] and PARI [DMS24] achieve even smaller proof sizes!

## Assumptions

- To minimize a trust assumption, SRS should be avoided
- Better alternative: only trust the security of hash function modeled as RO (aka **transparent** setup), e.g., Bulletproofs, Brakedown, STARK, LaBRADOR, MPC/VOLE-in-the-Head, etc.
- Middle-ground solution: allows different parties to update SRS (aka **updatable SRS**) [GKMMM18]

## Setup, Prover and Verifier Cost

- **Universal Setup**: Setup outputs SRS once and for all for arbitrary circuits [GKMMM18]

$$\text{srs} \leftarrow \text{Setup}; \text{srs}_C \leftarrow \text{Derive}(\text{srs}, C)$$

- Verifier sub-linear in  $|C|$
- Prover time linear in #non-linear gates

## Scalability

- How can we prove a large statement efficiently?
  - **Proof Aggregation**: aggregate many, asynchronously generated proofs, e.g., SnarkPack
  - **Incrementally Verifiable Computation [Valiant08]**: succinct proof of incremental computations via recursion or folding, e.g., Halo2, Nova, etc.

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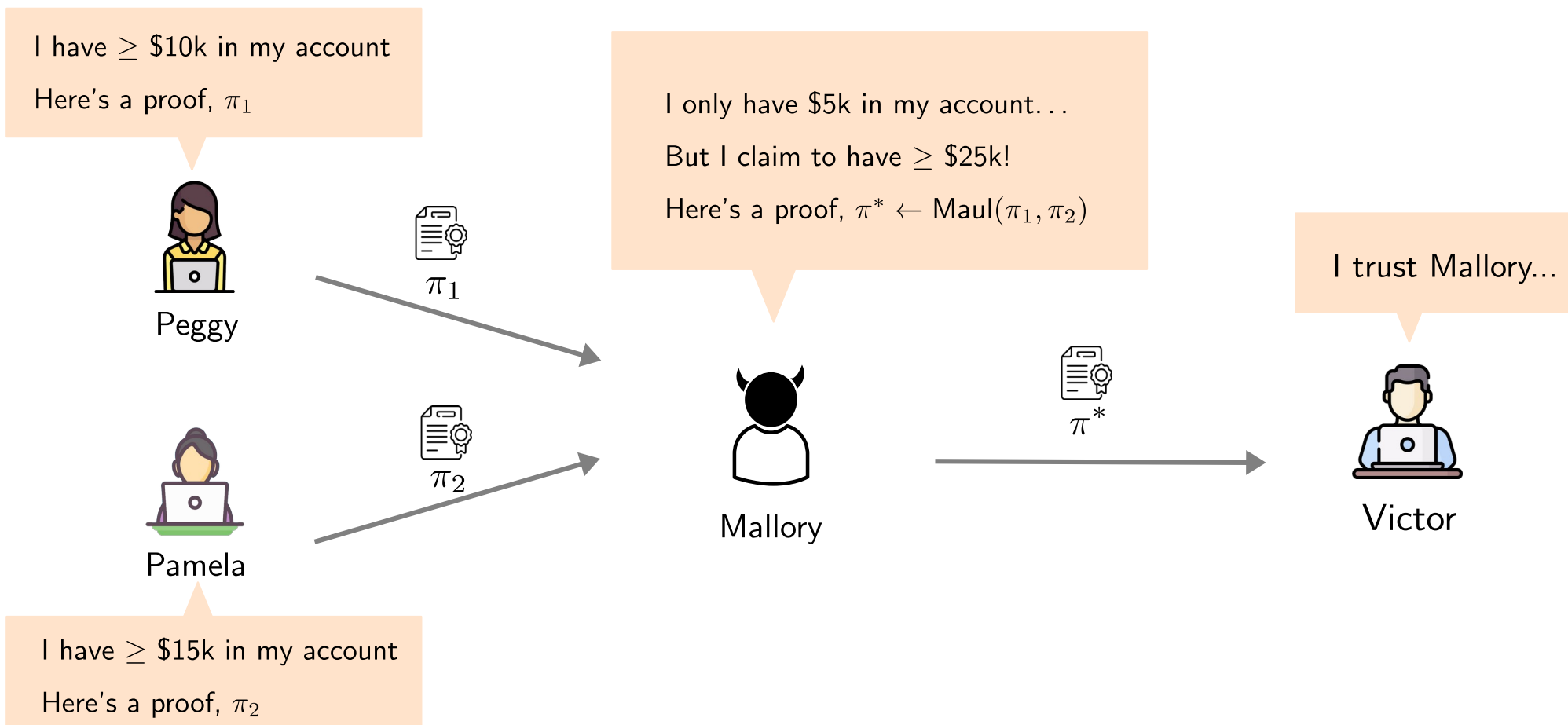
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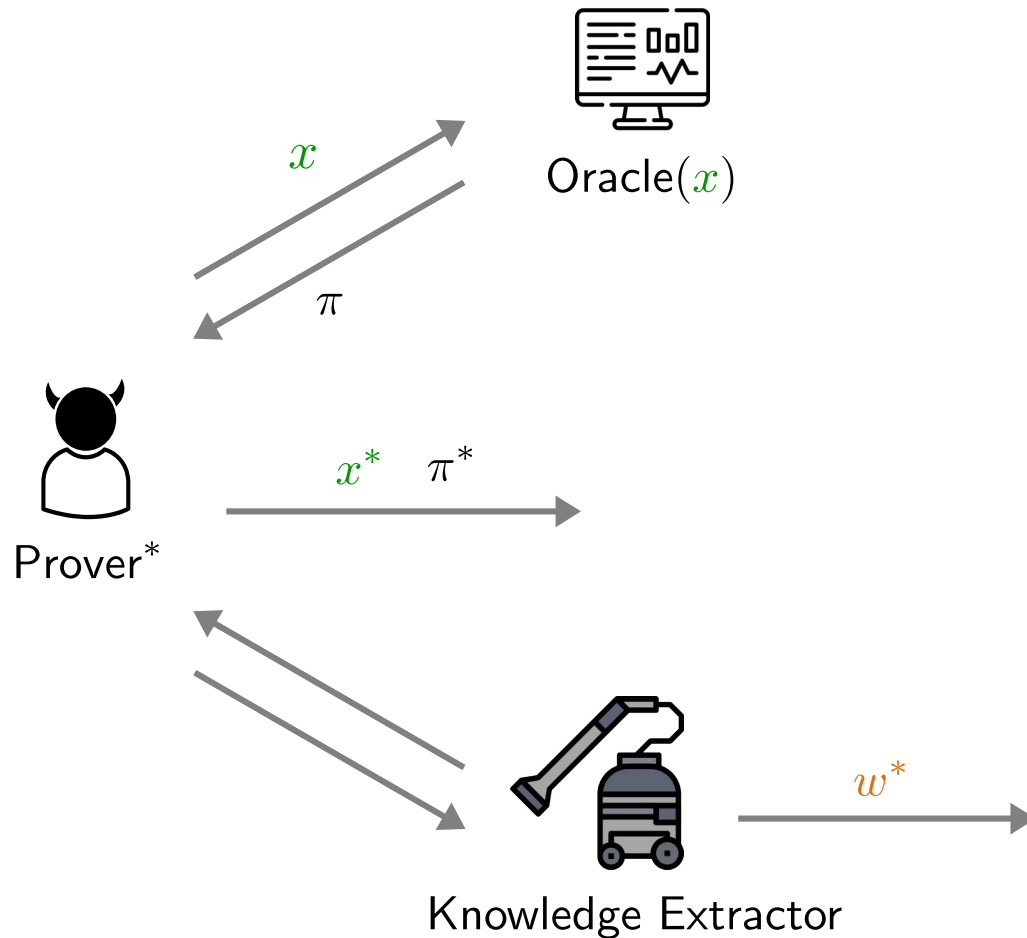
3) Interoperability

# ZK and Knowledge Soundness are not Enough: Malleability Attacks





# Combined Notion: Simulation-Extractability [Sah99]



## SIM-EXT Security

1. Prover\* obtains fresh proof from Oracle
2. Prover\* outputs “forgery” ( $x^*, \pi^*$ )
3. If ( $x^*, \pi^*$ ) is accepting and not recorded by Oracle, then Prover\* must know the corresponding witness  $w^*$

- Intuitively, SIM-EXT guarantees **non-malleability**: a cheating prover cannot maul existing proofs to create a new one, without knowing a valid witness
- Cf. (S)EUF-CMA for signature and IND-CCA for PKE
- Crucial property NIZK should satisfy if used as a subroutine of another protocol
- Many practical NIZK schemes turn out to be SIM-EXT [GKKNZ22] [GOPTT22] [DG23] [FFKR23] [KPT23] [Lib24] [FFR24]
- Some schemes satisfy UC security [Canetti01] accepting some idealized setup [CF24] [BFKT24]

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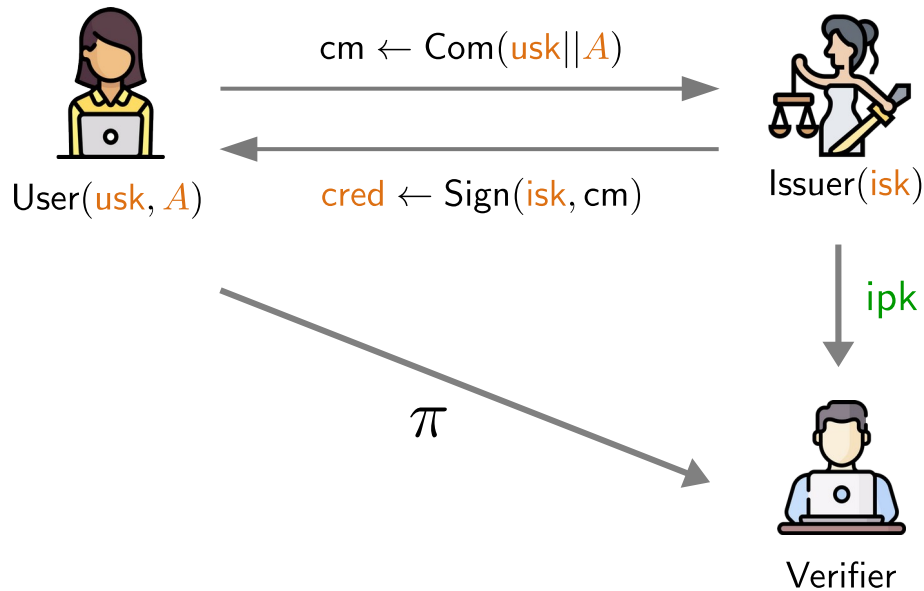
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# Example: Anonymous Credentials (High Level)

Generate a compact proof  $\pi$ :

"I know a valid **cred** on **usk**, **A**"



## Protocol

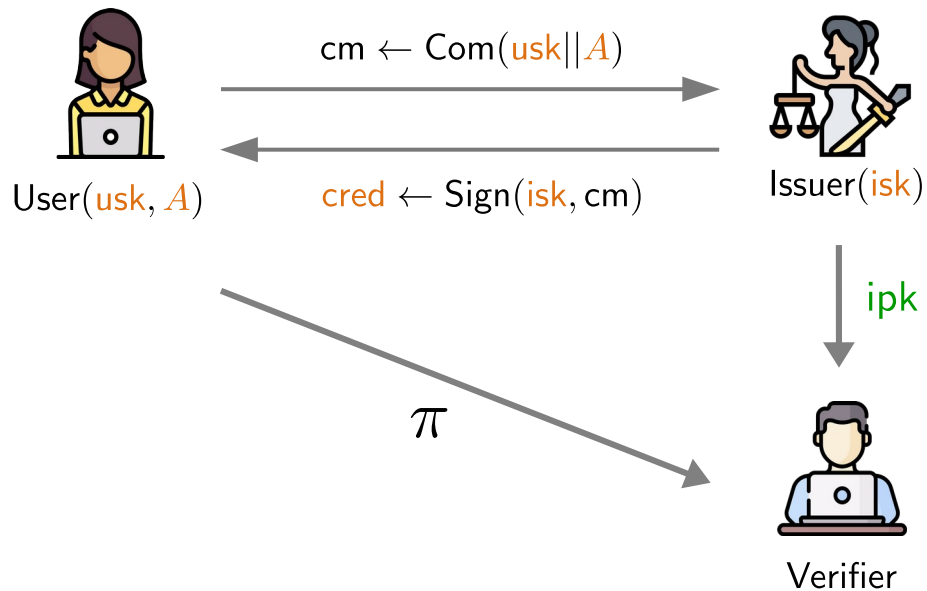
- Issuer initially binds attributes and usk to secret credentials
- The owner of attributes produces a **proof string** in the form of ZKP
- By examining the proof string, Verifier gets convinced that User has valid attributes signed by Issuer
- Thanks to ZKP, the proof string only leaks minimum info about Prover's identity
- E.g., Verifier learns "User is  $\Rightarrow$  21 years old" but nothing else

- **isk**: issuer secret key
- **usk**: user secret key
- **ipk**: issuer public key
- **A**: user attributes

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## Interoperability

- Central ZKP for AC: Proof-of-Knowledge of valid signature
- Verification algorithms of widely deployed signatures, e.g, RSA-PSS, ECDSA, EdDSA, etc. are not ZK-friendly
- Two directions:
  - Design more specialized and efficient ZKP for existing standardized schemes to retain interoperability
  - Design and standardize "ZK-friendly" primitives: Cf. BBS(+) signature

- **isk**: issuer secret key
- **usk**: user secret key
- **ipk**: issuer public key
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# Takeaways

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- ZKP allows Prover to prove the knowledge of a secret, while Verifier learns nothing about the secret
- Basic Security Properties: **Knowledge Soundness** and **Zero Knowledge**
- What kind statement do you want to prove?
  - General-purpose ZKP, Specialized ZKP, Composition of both
- Which setup assumption is suitable for deployment?
  - Trusted, Transparent, Updatable, ...
- What should you optimize?
  - Proof Size, Assumptions, Setup/Prover/Verifier Costs, Scalability.
- Advanced Security: Does the application need SIM-EXT or UC security?
- Interoperability: Standardize ZK-friendly primitives, or design standardization-friendly ZK

# Zero-Knowledge Proofs: Technical Challenges, Applications, and Real-world Deployment

NIST Workshop on Privacy-Enhancing Cryptography

**Tjerand Silde** & Akira Takahashi, September 26 – 2024

# Content

Introduction to ZKP

Technical Challenges

**Real-World Applications**

Insights from ZKP Workshop

Resources and Standards

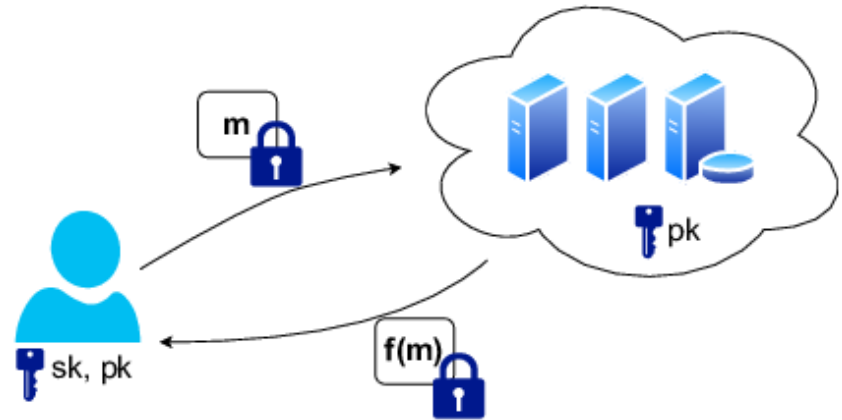


# Verifiable and Outsourced Computation

Ensure that computation is conducted properly (server is the prover)

Might include secret data or algorithms, but does not have to do so

Use ZKP for compliance

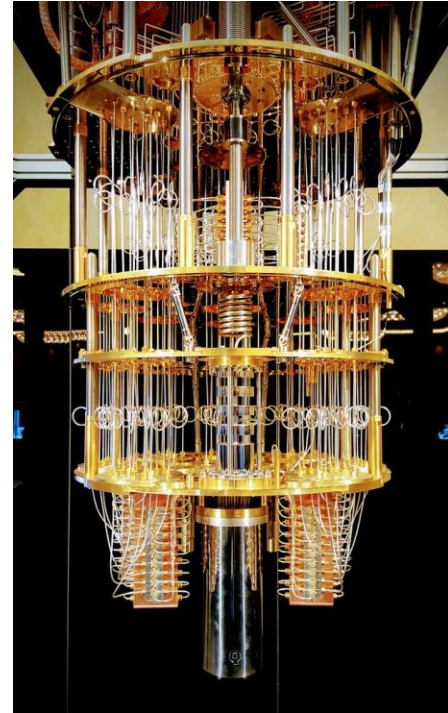




# Efficient (Post-Quantum) Digital Signatures

Quantum computers can break schemes based on factoring and DLOG

Can design signature schemes from zero-knowledge proofs and the Fiat-Shamir transform

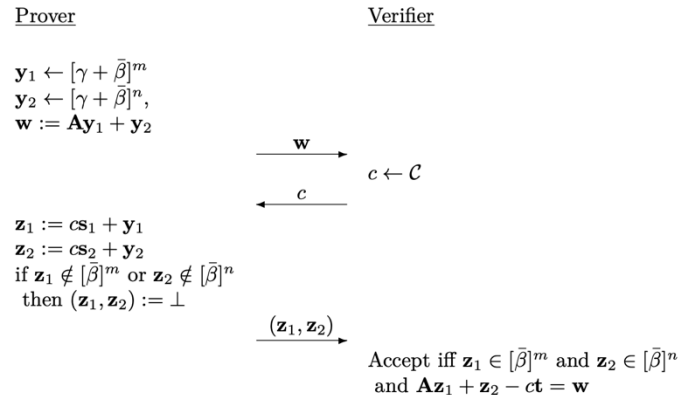


# Efficient (Post-Quantum) Digital Signatures

Dilithium is a NIZK  
based on the quantum-  
safe LWE/SIS-problems

Follows a similar  
structure as Schnorr-  
signatures for DLOG

Private information:  $\mathbf{s}_1 \in [\beta]^m, \mathbf{s}_2 \in [\beta]^n$   
Public information:  $\mathbf{A} \in \mathcal{R}_{q,f}^{n \times m}, \mathbf{t} = \mathbf{A}\mathbf{s}_1 + \mathbf{s}_2 \in \mathcal{R}_{q,f}^n$



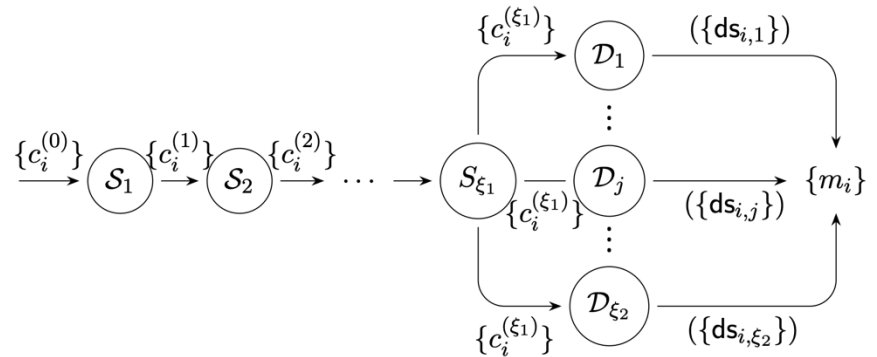
**Figure 5:** The basic Zero-Knowledge Proof System in which the prover knows  $\mathbf{s}_1 \in [\beta]^m, \mathbf{s}_2 \in [\beta]^n$  satisfying (70) and gives a ZKPoK of knowledge of  $\bar{\mathbf{s}}_1 \in [2\bar{\beta}]^m, \bar{\mathbf{s}}_2 \in [2\bar{\beta}]^n$ ,

<https://eprint.iacr.org/2024/1287.pdf>

# Proof Systems in Electronic Voting

Need to break the connection between votes and voters by shuffling

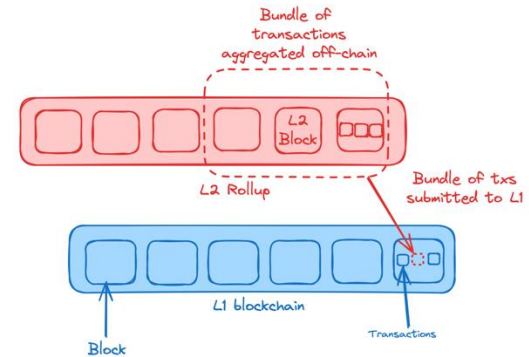
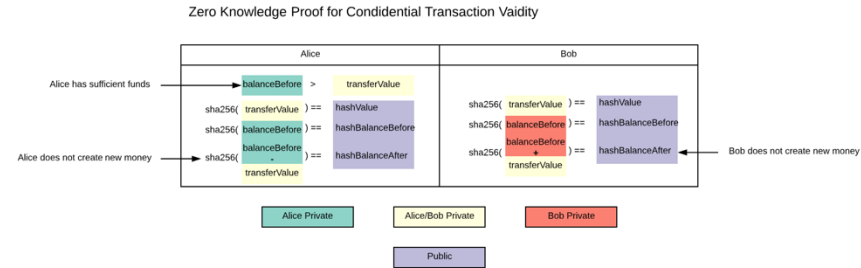
Ensure correct encryption and decryption of votes



# Blockchain Rollup and Private Transactions

For privacy: encrypt to make transactions private, use ZKP to ensure correctness and compliance to bank laws

For efficiency: batch many transactions together and prove that all were correct without checking each



# Content

Introduction to ZKP

Technical Challenges

Real-World Applications

**Insights from ZKP Workshop**

Resources and Standards



Sponsors and Funders:



INPUT | OUTPUT



# ICMS Workshop on Foundations and Applications of Zero-Knowledge Proofs

A one-week workshop about ZKPs: going from the basics to some of the most advanced applications.

All the slides and recordings are available online.

Organized w/ Elizabeth Crites and Markulf Kolweiss.

[icms.org.uk/ZeroKnowledgeProofs](https://icms.org.uk/ZeroKnowledgeProofs)

# Speakers

Jonathan Katz (UMD)

Michele Ciampi (UoE)

Carsten Baum (DTU)

Peter Scholl (AU)

Carla Rafols (UPF)

Arantxa Zapico (Ethereum)

Anca Nitulescu (IOG)

Lisa Kohl (CWI Amsterdam)

Ngoc Khanh Nguyen (KCL)

Dario Fiore (IMDEA)

# Topics

- Introduction to ZKPs and their Security
- Sigma-Protocols and their Applications
- MPC-in-the-Head Techniques for ZKP and Signatures
- Group/pairing-based zkSNARK Constructions
- Polynomial Commitments for zkSNARKs
- Lattice-Based ZKPs and Polynomial Commitments
- ZKPs for Blockchain Applications
- ZKP for Machine Learning and Verifiable Computation



# Lessons Learned

Recent advances in ZKP rely heavily on earlier works, and it is worthwhile to go in-depth on the foundations.

ZKP is a fast-moving field, and several invited speakers talked about new constructions published after we reached out.

ZKP has until recently been considered a theoretical field, but nowadays we see new and efficient implementations every week.

New constructions are quite complex, and it might be hard to keep up with the technical details and get a proper overview.

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# Zero-Knowledge Proofs MOOC

## Instructors

				
Dan Boneh	Shafi Goldwasser	Dawn Song	Justin Thaler	Yupeng Zhang
Stanford	UC Berkeley	UC Berkeley	Georgetown University	Texas A&M University

[zk-learning.org](https://zk-learning.org)

# ZKProof Standards

## About ZKProof

ZKProof is an open-industry academic initiative that seeks to mainstream zero-knowledge proof (ZKP) cryptography through an inclusive, community-driven standardization process that focuses on interoperability and security.

Annually-held ZKProof workshops, attended by world-renowned cryptographers, practitioners and industry leaders, are the optimal forum for discussing new proposals, reviewing cutting edge projects and advancing a community reference document that will ultimately serve as a trusted specification for the implementation of ZKP schemes and protocols.

[zkproof.org](https://zkproof.org)

# Blog-posts by Matthew Green

Matthew Green in fundamentals    ⌚ November 27, 2014    ≡ 4,100 Words

## Zero Knowledge Proofs: An illustrated primer

One of the best things about modern cryptography is the beautiful terminology. You could start any number of punk bands (or [Tumblrs](#)) named after cryptography terms like ‘hard-core predicate’, ‘trapdoor function’, ‘or ‘impossible differential cryptanalysis’. And of course, I haven’t even mentioned the one term that surpasses all of these. That term is ‘*zero knowledge*’.



**Matthew Green**

I'm a cryptographer and professor at Johns Hopkins University. I've designed

[blog.cryptographyengineering.com/2014/11/27/zero-knowledge-proofs-illustrated-primer](http://blog.cryptographyengineering.com/2014/11/27/zero-knowledge-proofs-illustrated-primer)

# Zero-Knowledge Podcast



Latest Episode

**Episode 340: Is Cosmos Dead? A critical look with Zaki Manian**

[zeroknowledge.fm](https://zeroknowledge.fm)

# Zero-Knowledge Summit

# zkSummit 12

October 8th 2024 - Lisbon

[zksummit.com](https://zksummit.com)

# DARPA-Funded ZKP Research

## Generating Zero-Knowledge Proofs for Defense Capabilities

*Program aims to advance method for making public statements without compromising sensitive underlying information*

OUTREACH@DARPA.MIL  
7/18/2019



[arpa.mil/news-events/2019-07-18](https://arpa.mil/news-events/2019-07-18)



# ZKP in EU Digital Identity Wallet

## Cryptographers' Feedback on the EU Digital Identity's ARF

Carsten Baum  
Technical University of Denmark

Olivier Blazy  
École Polytechnique

Jan Camenisch  
Dfinity

Jaap-Henk Hoepman  
Karlstad University  
& Radboud University

Eysa Lee  
Brown University

Anja Lehmann  
Hasso-Plattner-Institute,  
University of Potsdam

Anna Lysyanskaya  
Brown University

René Mayrhofer  
Johannes Kepler University Linz

Hart Montgomery\*

Ngoc Khanh Nguyen  
King's College London

Bart Preneel  
KU Leuven

abhi shelat  
Northeastern University

Daniel Slamanig  
Universität der Bundeswehr München

Stefano Tessaro  
University of Washington

Søren Eller Thomsen  
Partisia

Carmela Troncoso  
EPFL

June 2024

[github.com/eu-digital-identity-wallet/eudi-doc-architecture-and-reference-framework/discussions/211](https://github.com/eu-digital-identity-wallet/eudi-doc-architecture-and-reference-framework/discussions/211)

# Least Authority

## Building the Zero-Knowledge Community: Engagement, Events, and Advocacy

📅 September 18, 2024    © Least Authority Team

[leastauthority.com/blog/building-the-zero-knowledge-community-engagement-events-and-advocacy](https://leastauthority.com/blog/building-the-zero-knowledge-community-engagement-events-and-advocacy)

# zkSecurity

■ ZKSECURITY

Audits

Development

**We're experts**

**in ZKP, MPC, FHE,  
and advanced  
cryptology...**

zksecurity.xyz

# Trail of Bits

## Serving up zero-knowledge proofs

POST

FEBRUARY 19, 2021

4 COMMENTS

By **Jim Miller**, Senior Cryptography Analyst

Zero-knowledge (ZK) proofs are gaining popularity, and exciting new applications for this technology are emerging, particularly in the blockchain space. So we'd like to shine a spotlight on an interesting source of implementation bugs that we've seen—the Fiat Shamir transformation.

[blog.trailofbits.com/2021/02/19/serving-up-zero-knowledge-proofs](https://blog.trailofbits.com/2021/02/19/serving-up-zero-knowledge-proofs)

# Workshop at Simons Institute

## Cryptography 10 Years Later: Obfuscation, Proof Systems, and Secure Computation

Monday, May 19 – Friday, Aug. 15, 2025



[simons.berkeley.edu/programs/cryptography-10-years-later-obfuscation-proof-systems-secure-computation](https://simons.berkeley.edu/programs/cryptography-10-years-later-obfuscation-proof-systems-secure-computation)

# Thank you! Questions?

NIST Workshop on Privacy-Enhancing Cryptography

**Tjerand Silde** & Akira Takahashi, September 26 - 2024